

# CARR LLP

*Intellectual Property Law*

670 Founders Square

900 Jackson Street

Dallas, Texas 75202

(214) 760-3004

(214) 760-3003 (facsimile)

## Facsimile Information Sheet

TO: Clifford Knoll

CLIENT/MATTER NO.: IBM 2349000 09/997,813

CITY, STATE:

FACSIMILE NUMBER: 703 746 3184

TELEPHONE NUMBER:

RE:

TOTAL NUMBER OF PAGES:

FROM: Alan Cooper

DATE: June 30, 2004

MESSAGE: Informally Proposed Claim Amendments and Interview Request Form

This message is intended only for the review and use of the individual or entity to which it is addressed and may contain information that is privileged, confidential, and exempt from disclosure. If the reader of this message is not the intended recipient or an employee or agent responsible for delivering this message to the intended recipient, you are hereby notified that any dissemination, distribution, or copying of this communication is strictly prohibited. If you have received this communication in error, please notify us immediately by telephone and return the original message to us at the above address by mail. Thank you.

Operator

IF YOU DO NOT RECEIVE ALL OF THE PAGES INDICATED ABOVE,  
PLEASE CALL THE FOLLOWING NUMBER AS SOON AS POSSIBLE: (214) 760-3000

BEST AVAILABLE COPY

Attachment to Interview Summary for 7/8/2004

USPTO  
TO: Alan Cooper COMPANY:

6/30/2004 10:37 AM PAGE 1/002 Fax Server

**UNITED STATES PATENT AND  
TRADEMARK OFFICE****Facsimile Transmission**

To:           Name:           Alan Cooper  
              Company:  
              Fax Number:   214-760-3003  
              Voice Phone:   214-760-3000

From:        Name:           Clifford Knoll  
              Voice Phone:   703-305-8656

703 746 3184

37 C.F.R. 1.6 sets forth the types of correspondence that can be communicated to the Patent and Trademark Office via facsimile transmissions. Applicants are advised to use the certificate of facsimile transmission procedures when submitting a reply to a non-final or final Office action by facsimile (37 CFR 1.8(a)).

**Fax Notes:**

---

---

Date and time of transmission: Wednesday, June 30, 2004 10:31:32 AM  
Number of pages including this cover sheet: 02

---

**BEST AVAILABLE COPY**

USPTO  
TO: Alan Cooper COMPANY:

6/30/2004 10:37 AM PAGE 2/002 Fax Server

PTOL-413A (09-03)  
Approved for use through 07/31/2008. OMB 0661-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE**Applicant Initiated Interview Request Form**Application No. 09/947813 First Named Applicant: James W. Allen  
Examiner: Charles Knoll Art Unit: 2112 Status of Application: After 1st Action**Tentative Participants:**(1) Alan Cooper, SI, 217 (2) \_\_\_\_\_

(3) \_\_\_\_\_ (4) \_\_\_\_\_

Proposed Date of Interview: Thurs/Fri Proposed Time: Friday (AM/PM)  
Thurs any time  
late afternoon**Type of Interview Requested:**(1) ☒ Telephonic (2) ☐ Personal (3) ☐ Video ConferenceExhibit To Be Shown or Demonstrated: ☐ YES ☒ NO

If yes, provide brief description: \_\_\_\_\_

**Issues To Be Discussed**

Issues (RE), Obj., etc)	Claims/ Fig. #s	Prior Art	Discussed	Agreed	Not Agreed
(1) <u>1, 3, 4</u>	_____	<u>Merge</u>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(2) _____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(3) _____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(4) _____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Continuation Sheet Attached**Brief Description of Arguments to be Presented:**claims 1, 3 & 4 (have been proposed) do not require counting is not  
individualized for a given processor. Similar arguments as proposed  
to the other claims.

An interview was conducted on the above-identified application on \_\_\_\_\_.

**NOTE:**

This form should be completed by applicant and submitted to the examiner in advance of the interview (see MFEP § 713.01).

This application will not be delayed from issue because of applicant's failure to submit a written record of this interview. Therefore, applicant is advised to file a statement of the substance of this interview (37 CFR 1.133(b)) as soon as possible.

(Applicant/Applicant's Representative Signature)

(Examiner/SPE Signature)

This collection of information is required by 37 CFR 1.133. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. **DONOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form call 1-800-PTOL-0100 and select option 2

BEST AVAILABLE COPY